

FEE TRANSMITTAL FOR FY 2000

TOTAL AMOUNT OF PAYMENT (\$) 1,546.00

Complete if Known:

Application No. Unassigned
Filing Date May 29, 2001
First Named Inventor Donald R. Katz
Group Art Unit Unassigned
Examiner Name Unassigned
Attorney Docket No. 2541P001CD2

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number _____
Deposit Account Name _____
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, charge any deficiencies, and credit any over payments to Deposit Account Number 02-2666
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)
2. ☒ Payment Enclosed
☒ Three checks in the amounts of \$710.00, \$ 726.00, and \$110.00.
- _____ Money Order
_____ Other

FEE CALCULATION (fees effective 11/29/00)

1. FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------|------------------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 101 | 710 | 201 | 355 | Utility application filing fee | <u>710.00</u> |
| 106 | 320 | 206 | 160 | Design application filing fee | _____ |
| 107 | 490 | 207 | 245 | Plant filing fee | _____ |
| 108 | 710 | 208 | 355 | Reissue filing fee | _____ |
| 114 | 150 | 214 | 75 | Provisional application filing fee | _____ |
| SUBTOTAL (1) | | | | | \$ <u>710.00</u> |

2. CLAIMS

| | | | Extra | | Fee from below | | Fee Paid |
|---------------------------|-----------|--------|-----------|---|----------------|---|------------|
| Total Claims | <u>47</u> | - 20 = | <u>27</u> | X | <u>18</u> | = | <u>486</u> |
| Independent Claims | <u>6</u> | - 3 = | <u>3</u> | X | <u>80</u> | = | <u>240</u> |
| Multiple Dependent Claims | | | <u>0</u> | X | <u>0</u> | = | <u>0</u> |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|---|------------------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of twenty | <u>486.00</u> |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | <u>240.00</u> |
| 104 | 270 | 204 | 135 | Multiple dependent claim | _____ |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent | _____ |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent | _____ |
| SUBTOTAL (2) | | | | | \$ <u>726.00</u> |

1c974 U.S. PTO
09/867505
05/29/01

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|-----------------------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for response within first month | |
| 116 | 390 | 216 | 195 | Extension for response within second month | |
| 117 | 890 | 217 | 445 | Extension for response within third month | |
| 118 | 1,390 | 218 | 695 | Extension for response within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for response within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application | |
| 141 | 1,240 | 241 | 620 | Petition to revive unintentionally abandoned application | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | For filing a submission after final rejection | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| 195 | 300 | 195 | 300 | Publication fee for early, voluntary, or normal publication | |
| 196 | 300 | 196 | 300 | Publication fee for republication | |
| 194 | 130 | 194 | 130 | Request for voluntary publication or republication | |
| 098 | 130 | 098 | 130 | Processing fee under 37 CFR 1.17(i) | |
| 091 | 1,240 | 091 | 1,240 | Acceptance of unintentionally delayed claim for priority | |
| Other: <u>Terminal Disclaimer</u> | | | | | <u>110.00</u> |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 110.00SUBMITTED BY:Typed or Printed Name: James H. SalterSignature Date 5/29/01Reg. Number 38,668Customer Number 008791

(complete if applicable)

EXPRESS MAIL CERTIFICATE OF MAILING"Express Mail" mailing label number: EL 61718 3635 USDate of Deposit: May 29, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara SklibaName of Person Mailing Paper or Fee Barbara Skliba5/29/01

Signature of Person Mailing Paper or Fee

Date Signed

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2541P001CD2
(maximum 12 characters)

First Named Inventor Donald R. Katz

Title: APPARATUS AND METHOD FOR AUTHORIZING AND MAINTAINING A LIBRARY OF CONTENT AND TARGETING CONTENT TO A PLAYBACK DEVICE

Express Mail Label No. EL 61718 3635 US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. X Specification (Total Pages 49 pages with cover and abstract); TRUE COPY of specification from prior application serial no. 09/234,044, which is a TRUE COPY of specification from prior application serial no. 08/710,114
4. X Drawings(s) (35 USC 113) (Total Sheets 10 sheets of 10 figures); TRUE COPIES of figures from prior application serial no. 09/234,044, which are TRUE COPIES of figures from prior application serial no. 08/710,114
5. X Oath or Declaration (Total Pages 2 sets at 6 pages each set)
 - a. Newly Executed (Original or Copy)
 - b. X Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- b. Power of Attorney
11. English Translation Document (if applicable)
12. X a. Information Disclosure Statement (IDS)/PTO-1449
- X b. Copies of IDS Citations
13. X **Preliminary Amendment (14 pages)**
14. X **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. X **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. X Other: Terminal Disclaimer
- Three checks in the amounts of \$710.00, \$726.00, and \$110.00

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation X Divisional Continuation-in-part (CIP)

Of Prior Application No.: 09/234,044 Examiner Dinh, D. Group Art Unit 2757

(which is a X continuation/ divisional/ CIP of prior application no. 08/710,114) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that Audible, Inc. (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. 8421 Frame No. 0773 (or a copy of which is attached).

19. Correspondence Address

 X Customer Number or Bar Code Label 008791

 or (Insert Customer No. or Attach Bar Code Label here)

 X Correspondence Address Below

NAME James H. Salter

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): James H. Salter Registration No.: 35,668

Signature:  Date: 5/22/01

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)First Named Inventor Donald R. KatzTitle APPARATUS AND METHOD FOR AUTHORIZING AND MAINTAINING A LIBRARY OF CONTENT AND TARGETING CONTENT TO A PLAYBACK DEVICEAttorney Docket No. 2541P001CD2

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

5/29/01
Date
SignatureJames H. Salter
Typed or Printed Name35,668
Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231